



1 2 1 1 2 5 0 3 0 5 0 1 6

REC'D 01 AUG 2003
WIPO PCT



INVESTOR IN PEOPLE

**PRIORITY DOCUMENT**  
SUBMITTED OR TRANSMITTED IN  
COMPLIANCE WITH  
RULE 17.1(a) OR (b)

The Patent Office  
Concept House  
Cardiff Road  
Newport  
South Wales  
NP10 8QQ

PCT/IB 03/3016

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed *Anders Gersey*  
Dated 15 July 2003

**BEST AVAILABLE COPY**

Request for grant of a patent



1/77

The Patent Office

Cardiff Road  
Newport  
Gwent NP9 1RH

1. Your reference 25 41145

2. Patent application number 12 JUL 2002 0216240.2

3. Full name, address and post code of the or each applicant Nokia Corporation  
Keilalahdentie 4  
02150 Espoo  
Finland

Patents ADP number 07652217003

If the applicant is a corporate body, give the country/state of its incorporation FI

4. Title of the invention INFORMATION SERVICE BROADCASTING OR MULTICASTING

5. Name of your agent VENNER, SHIPLEY & CO  
"Address for service" in the United Kingdom to which all correspondence should be sent 20 LITTLE BRITAIN  
LONDON  
EC1A 7DH

Patents ADP 1669004 ✓

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or each of these earlier applications and the or each application number

Country	Priority application number	Date of filing
---------	-----------------------------	----------------

7. If this application is divided or otherwise derived from an earlier UK application, give the number and filing date of the earlier application

Number of earlier application	Date of Filing
-------------------------------	----------------

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'YES' if:  
a) any applicant in 3. above is not an inventor, or  
b) there is an inventor who is not named as an applicant, or  
c) any named applicant is a corporate body)

YES

**Patents Form 1/77**

9. Enter the number of sheets for any of the following items you are filing with this form.  
Do not count copies of the same document

Continuation sheets of this form

Description 9

Claim(s) 3

Abstract 1

Drawing(s) 3 ~~1~~ 3

10. If you are also filing any of the following state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (*Patents Form 7/77*)

Request for preliminary examination and search (*Patents Form 9/77*) One ✓

Request for substantive examination (*Patents Form 10/77*)

Any other documents

11. I/We request the grant of a patent on the basis of this application.

Signature

Date

*Verner, Shipley & Co.*

12 July 2002

12. Name and daytime telephone number of person to contact in the United Kingdom

PAUL DERRY  
020 7600 4212

## Information Service Broadcasting or Multicasting

This invention relates to a method of operating broadcast or multicast apparatus, to an information service broadcaster or multicaster, to a method of operating a  
5 receiver, to a receiver and to a user interface. The invention has application in, but is not limited to, Internet Protocol session announcements in terrestrial digital video broadcasting.

An Internet protocol (IP) service can include plural items delivered using an IP  
10 session. An IP session may include an IP stream carrying primary content, such as live or recorded music, and further IP streams carrying secondary content, such as error correction or song lyrics. Another example of an IP session is news, where a primary IP stream may carry audio data, with secondary streams carrying a video clip download and a web page push respectively. Such services can be broadcast  
15 using terrestrial digital video broadcast (DVB-T), but IP streams may instead be multicast or unicast. A DVB-T receiver terminal is able to determine information about IP sessions from IP session announcements. Announcements are structured in a hierarchal manner. At the lowest level, announcements in respect of a subcategory of information services may include a number of messages, each  
20 message having information describing the IP session, information descriptive of the content of the IP streams, information concerning the location (e.g. channel frequency, etc.) of the respective IP streams, information about schedules of sessions, and certain other parameters related to it. Above the lowest level, there are hierarchical levels of announcements, each level of which gives information only  
25 about announcements on an immediately lower level. The services typically are divided into different categories such that the highest (or root) level may include messages relating one-to-one to the categories of news, sport, entertainment, etc. Announcements in the sport category may then consist of messages each relating to a different one of football, hockey, athletics, etc. There may be any number of  
30 levels of announcement. An IP session announcement can be made using a session description protocol (SDP) message, which forms part of a session announcement protocol (SAP) message.

It is common for an IP session announcement at one level in the hierarchy to be transmitted on a channel having a different frequency to a channel on which an IP session announcement on a lower level, for example an immediately lower level, is transmitted. This means that it is not usually possible for a terminal having a single  
5 radio receiver to receive announcements on different levels simultaneously.

According to a first aspect of the invention, there is provided a method of operating broadcast or multicast apparatus as claimed in claim 1.

10 According to a second aspect of the invention, there is provided an information service broadcaster or multicaster as claimed in claim 6.

According to a third aspect of the invention, there is provided a method of operating a receiver as claimed in claim 7.

15 According to a fourth aspect of the invention, there is provided a receiver as claimed in claim 11.

---

20 According to a fifth aspect of the invention, there is provided a user interface as claimed in claim 16.

Advantages which may ensue from the inclusion of the quantity information are generally experienced at the receiver end. In particular, knowing how long the receiver needs to receive announcement information for allows it to save resources,  
25 most notably power supply and radio receiver resources. Resource saving can be optimised if timeout information is also available.

Embodiments of the invention will now be described, by way of example only, with reference to the accompanying drawings, of which:

30 Figure 1 is a schematic diagram of a broadcast system including components according to various aspects of the invention;

---

Figure 2 is a schematic diagram of a receiver according to one aspect of the invention;

Figure 3 is a schematic diagram illustrating operation of the receiver of Figure 2;

Figure 4 is a schematic diagram illustrating a hierarchy of announcements; and

5 Figures 5A to 5C are schematic diagrams illustrating screen shots produced by a user interface forming part of the Figure 2 receiver according to one aspect of the invention.

Referring to Figure 1, a broadcast system is shown, comprising generally a broadcast  
10 server apparatus 10, the internet 11, a DVB-T system 12, a radio transmitter tower 13 and a client receiver terminal 14. The broadcast server 10 includes an information service broadcaster 15, which includes software for generating IP streams and corresponding IP session announcements at plural levels, as SAP  
15 messages. The IP streams may be conventional, and are not described in further detail here. According to the invention, though, the IP session announcements are unconventional. Except for the lowest level IP session announcements, each IP session announcement generated by the information service broadcaster 15 includes information identifying the IP destination address and the port at which location  
20 SAP messages announcements on a level below the current level can be found. Typically, this is a level immediately below the current level, but this is not necessary. Also, announcements can be in respect of plural lower levels, which may or may not include the immediately lower level. Each IP session announcement also includes a message identifier hash field of the SAP message, and the category or subcategory to which the information service relates. The message identifier hash  
25 fields each include a hash value, which uniquely identifies the message with the originating source address. Each announcement also includes information identifying the number of messages on the lower level and relating to that category, and a timeout value. The timeout value may be set at a value dependent on, for example equal to, the minimum time between updates in the lower level messages.  
30 Also included are the starting and stopping times of the sending of the service and the transport format, for example sap sdp. Announcement messages at the lowest level give the transport protocol (e.g. RTP/UDP/IP) and the format of the media.

The IP session announcements may describe the above listed information in any convenient manner. For example, the 'count' and timeout' parameters could be included in the "cat" attribute of an SDP message, e.g. "a=cat:x.y.z 10 20" means pointer to category "x.y.z" with 'count' 10 and 'timeout' 20. Alternatively, this  
5 information could be included in an SDP media field "m", e.g. as "m=application 9889 sap sdp 10 20".

The IP streams and IP session announcements at all levels generated by the information service broadcaster 15 are provided by the server 10 and via the  
10 internet 11 to the DVB-T system 12. Here, the IP streams and IP session announcements are prepared before being broadcast by the radio transmitter tower 13. The terminal receiver 14, which in this example is a terrestrial digital receiver, receives IP session announcements via an antenna 16. The receiver includes a database 17, a receiver device 18, a parser device 19 and a service directory 20,  
15 which contains information about the different categories which are shown to a user and information concerning where announcements relating to the categories can be found.

---

Operation of the client receiver 14 is as follows. IP session announcements are  
20 received, and the category information thereof is examined for announcements relating to a required information service or category. The identity of the information service that is required is entered by a user and stored in the database. When an IP session announcement relating to the required category is found, the information it contains is stored locally. From the stored announcement, the client  
25 receiver 14 determines the IP address and port of announcements at a lower level, typically a level immediately below, and directs the receiver 18 to that location. The announcement may contain the IP address and port information, or it may be that information included in the announcement is combined with mapping information to obtain the physical and logical channel (location) required. Receiving SAP  
30 messages from that IP address and port, the client receiver 14 receives and stores a number of messages equal to the number given in the IP session announcement of the higher level, typically the level immediately above, after which it starts a timer (not shown) and ceases receiving. Here, only messages related to the required

---

category are stored. Moreover, the hash values from the message identifier hash fields are examined along with the originating source addresses to ensure that each of the different messages is received and stored. If the hash values were not checked, messages could be missed if, for example, the messages are transmitted in a non-repeating sequence. Once the timer reaches a value equal in seconds to the timeout value included in the relevant IP session announcement, the receiver again receives SAP messages from the IP address and port identified in the higher level IP session announcement.

10 In this way, the receiver 18 is enabled to receive SAP messages for a time sufficient to receive all of the messages that are included thereon, and does not receive any messages again until after a time dependent on the timeout value has lapsed. Thus, the receiver 18 is enabled for receiving announcement messages on that level of hierarchy only intermittently.

15 Announcements on lower levels which relate to announcements rather than IP streams are handled in a substantially the same manner, although relating instead to messages concerned with a required sub-category, rather than category.

20 An alternative form of client receiver is shown in Figure 2.

Referring to Figure 2, a client receiver, in the form of a mobile telephone handset terminal 30, is shown, the terminal comprising generally an antenna 31, a transceiver 32, a central processing unit (CPU) 33 and a battery 39. The transceiver 32 is connected to the antenna 31 and to the CPU 33. Also connected to the CPU 33 are an information service receiver 34, an announcement receiver 35, a keypad 36, a display 37 and an audio output transceiver 38. The information service receiver 34 and the announcement receiver 35 are connected to each other and to the transceiver 32. A user interface is constituted by various parts of the terminal, particularly the announcement receiver 35, the CPU 33 and the display 37. The terminal 30 also includes other conventional features of mobile telephone handsets, but these are omitted from Figure 2 for the sake of clarity. It may be that the information service receiver 34 and the announcement receiver 35 amount to a



common piece of hardware, with separation of their functions being achieved through appropriate software.

5 The identity of an information service with which a user is interested is entered via the keypad 36. Of course, entry may be made in any other convenient manner, such as through voice commands or through a touch-screen (not shown). The CPU 33 then controls the transceiver 32 and the announcement transceiver 35 to find and to receive IP session announcements corresponding to the required information service. Once announcement information relating to the required category has been  
10 received, the CPU 33 controls the transceiver 32 and the announcement receiver 34 to receive messages on a lower level of announcements.

In some circumstances, the CPU 33 may determine that further user input is required, for example, to select which, if any, of the available subcategories or IP  
15 streams is of interest. Here, the available subcategories or streams are displayed using the display 37 and/or announced using the audio output 38, and a user selection input is awaited. In this case, the CPU 33 exerts control so that the appropriate IP session announcements or IP streams are not received until a user selection has been made, which results in a saving of battery power. However, the  
20 announcement receiver 35 is controlled to continue receiving IP session announcements at the highest level either continuously or intermittently, in case there is a change in that information service. The number of announcement messages available is determined by the announcement receiver 35 by examining the information identifying the number of messages on the channel. Any change is  
25 reflected in the displayed and/or announced options.

An example of the way in which the receiver 14 and the terminal 30 may operate in response to received IP session announcements is described with reference to Figure 3. The Figure 2 receiver is referred to for ease of explanation.

30

Referring to Figure 3, the terminal 30 starts at A by controlling its announcement receiver 35 to receive a root level message RM, i.e. a highest level IP session announcement, corresponding to the required category. The message RM includes

all the information about the announcements on a lower level, typically the level immediately below the root level, as described above with reference to Figure 1.

From the root message RM, the terminal 30 determines at step B that its announcement receiver 35 should receive announcement messages from channel X having a now known IP address and port, and controls the transceiver 32, as well as the announcement receiver, accordingly. The terminal 30 also knows from the root message RM that there are three different messages on the channel X and that the timeout value is fifteen seconds. At step C, the receiver 30 then receives three announcement messages, in the form of messages X1, X2 and X3, before ceasing receiving messages. It will be understood that channel X may be on the same or a different physical channel to that on which the root message RM is transmitted, and that it may be a different multicast group.

After ceasing receiving, the terminal 30 may at step D and during its timeout period, listen to messages on another channel Y, which carries announcement messages concerning one or more of the subcategories to which the messages on channel X relate. Alternatively, the terminal 30 may sleep at step E before again receiving the messages on channel X at step F. To ensure full integrity of the received messages, the receiver 30 may perform recursive rescan at the end of the timeout period of step E.

In other embodiments (not shown), the quantity of data included on the IP session announcements on a level immediately below a current level is defined in the IP session announcements on the higher level in terms other than by the number of messages, for example in terms of the duration of the data transmission or in terms of the quantity (in bytes) of data.

Other forms of receiver are possible. With the example given, the receiver may be any one with DVB baseband capability, such as a suitably equipped laptop computer. Where a network other than DVB-T is used, the receiver may take any suitable form, such as a personal digital assistant (PDA), or a portable sound reproduction device, such as a personal stereo. Alternatively, the receiver could be a wireless local area network (WLAN) module.

In alternative embodiments, the announcements may be sent with UHTTP, instead of with SAP, with attachment/content of MMS or e-mail, or with HTTP response. UHTTP has the advantage that it can include many SDP messages. Also, XML, 5 RDF or any other suitable structured language could be used in place of SDP.

Referring now to Figure 4, an example of a hierarchy tree is shown. Here, a first (highest) level (DCO) is shown, with three categories (news, sport and entertainment) on a second level, which is lower than the first. Each of the 10 categories on the second level includes various subcategories (on a third level), although only the subcategories of the sport category are shown, namely football, basketball and athletics. As can be seen, the football subcategory has two sessions associated with it, namely games and football news. Sessions are of the lowest level of the hierarchy. Operation of the user interface of the receiver of Figure 2 will 15 now be described with reference to Figures 4 and 5.

Referring firstly to Figure 5A, the announcement receiver 35, forming part of the user interface, receives an announcement message, transmitted in respect of the first level, identifying there to be three messages at the second level. These three 20 messages relate to the same category, which in this case is 'information'. The first level announcement message received by the user interface also includes certain other information relating to them as is described above in relation to the earlier Figures. The announcement receiver 35 then receives the three messages, as described above, and from the received messages obtains a title or label for each of 25 them. The user interface in response displays a screenshot 50, which includes a number of options equal to the number of messages at the second level, and identifies each of them with its respective title or label.

In connection with the selection of the 'sports' option by a user, the user interface 30 receives the three announcement messages which relates to the announcements in the category 'sports' and existing at the third level. These messages include information labels identifying the titles or labels of those three options, as well as the port and address where those messages can be received. Labels identifying

those subcategories are then displayed as is shown by the screen shot 51 of Figure 5B.

5 In connection with the selection of the 'football' option by a user, the user interface receives two session messages relating to that option, and information therefrom is displayed at the screen shot 52 of Figure 5C. In this example, the screen shot 52 indicates that clips from the game that morning will be broadcast between 15:00 and 16:00. Optionally, the user interface may display other information also received as part of the announcement messages, such as further description of the broadcast,  
10 and/or the URL of a website where more information can be found.

In the foregoing, it will be appreciated that the terms 'higher level' and 'lower level' refer to announcements and the like which are connected with levels further up or down, respectively, the hierarchical structure.

15

## Claims

1. A method of operating broadcast or multicast apparatus, the method comprising:

5 controlling the apparatus to broadcast or multicast, in respect of one or more announcements on a lower level and relating to a category of an information service, data indicating a category to which the announcements relate, and data indicating the quantity of announcement information transmitted in respect of the lower level.

10 2. A method as claimed in claim 1, in which the data is broadcast or multicast in respect of one or more announcements on an immediately lower level.

15 3. A method as claimed in claim 1 or claim 2, further comprising controlling the apparatus to broadcast or multicast information identifying a location of access of the lower level announcement information.

4. A method as claimed in any preceding claim, further comprising controlling the apparatus to broadcast or multicast information identifying a timeout value.

20 5. A method as claimed in any preceding claim, further comprising controlling the apparatus to broadcast or multicast information identifying a transport format of the lower level announcements.

25 6. An information service broadcaster or multicaster arranged to produce for broadcasting or multicasting data indicating a category to which one or more announcements on a lower level and relating to information service belong and data indicating the quantity of announcement information transmitted in respect of the lower level announcements.

30 7. An information service broadcaster multicaster as claimed in claim 6, in which the announcement data relates to one or more announcements on an immediately lower level.

8. A method of operating a receiver, the method comprising:

receiving via an announcement receiver announcement data indicating a category to which one or more announcements on a lower level and relating to an information service belong, and quantity data indicating the quantity of announcement information transmitted in respect of the lower level announcements; and

controlling the announcement receiver to receive announcement data for a period of time dependent at least in part on the quantity data.

9. A method as claimed in claim 8, in which the announcement data relates to one or more announcements on an immediately lower level.

10. A method as claimed in claim 8 or claim 9 in which the controlling step includes directing the announcement service receiver to a location received as part of a relevant higher level announcement.

11. A method as claimed in any of claims 8 to 10, further comprising receiving in connection with the higher level announcement information indicating a timeout value, and controlling the announcement receiver to cease receiving announcement data for a period of time dependent the timeout value, and to subsequently resume receiving announcement data.

12. A receiver including an announcement receiver, for receiving announcement data indicating a category to which one or more announcements on a lower layer and relating to an information service belong and quantity data indicating the quantity of announcement information transmitted in respect of the lower level announcements; and arranged to receive announcement data for a period of time dependent at least in part on the quantity data.

13. A receiver as claimed in claim 12, in which the announcement data relates to one or more announcements on an immediately lower level.

14. A receiver as claimed in claim 12 or claim 13 which is arranged to be directed to a location identified by location information data receivable as part of the higher level announcement.

5 15. A receiver as claimed in any of claims 12 to 14, which is arranged to cease receiving lower level announcement data for a period of time dependent on a timeout value receivable by the announcement receiver, and to resume subsequently receiving information service data.

10 16. A receiver as claimed in any of claims 12 to 15, which is a portable, battery-powered receiver.

17. A user interface, useable with an electronic program or service guide, the user interface comprising:

15 an announcement receiver module arranged to receive data indicating a category to which one or more announcements on a lower level and relating to an information service belong, and quantity data indicating the quantity of announcement information transmitted in respect of the lower level announcements, and

---

20 a display module arranged to display a number of category options, which options are selectable by a user, the number of category options being dependent at least in part on the quantity data.

25 18. A broadcast or multicast system substantially as shown in and/or as described with reference to Figure 1 of the accompanying drawings.

19. A receiver substantially as shown in and/or as described with reference to Figure 2 of the accompanying drawings.

30 20. A method of operating a receiver substantially as herein described with reference to Figures 2 and 3 of the accompanying drawings.

---

## Abstract

### Information Service Broadcasting or Multicasting

5 A receiver (Figure 2), receives IP session announcement signals from a terrestrial digital video broadcasting system. Each IP session announcement (RM) includes conventional information concerning announcements on an immediately lower level as well as information identifying the quantity of announcement data, such as the number of announcement messages, and a timeout value. The quantity data tells the receiver how many messages X1 to X3 it needs to receive (C) before it has received  
10 all the relevant announcement messages. The timeout value informs the receiver how long it can wait before again receiving the messages X1 to X3 (which may have changed in content by then). After initially receiving the messages X1 to X3, the receiver may sleep (E), or it may receive announcement messages from another channel Y (D).

15

Fig. 3



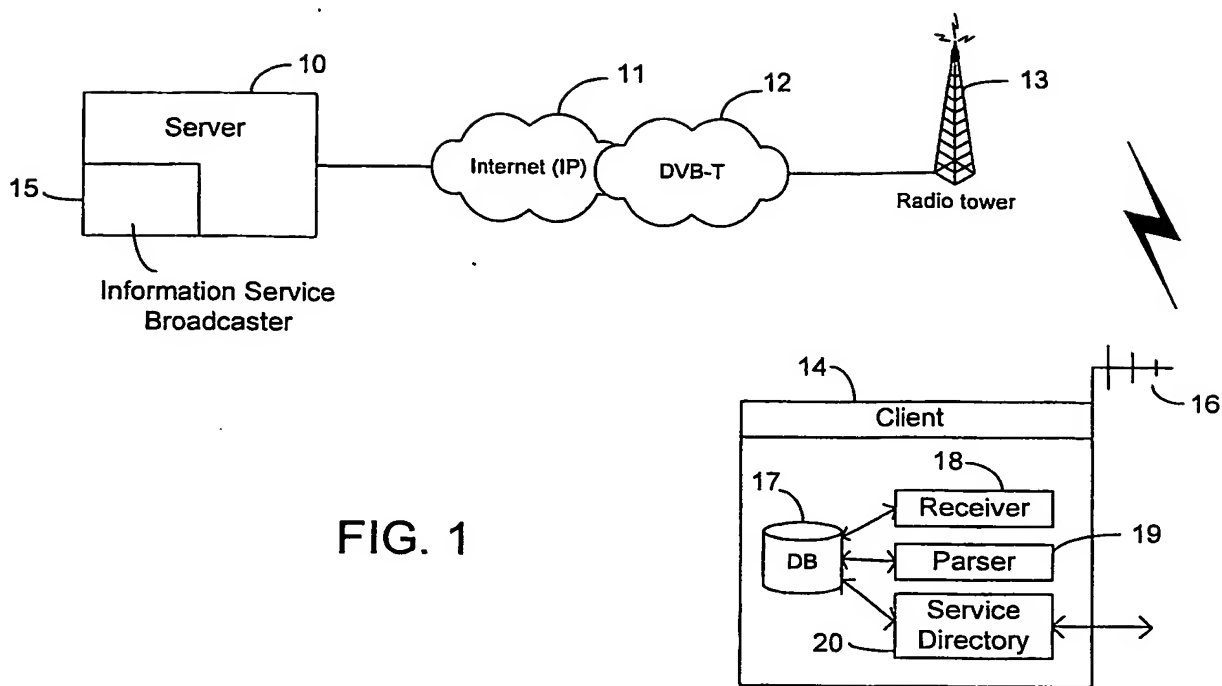


FIG. 1

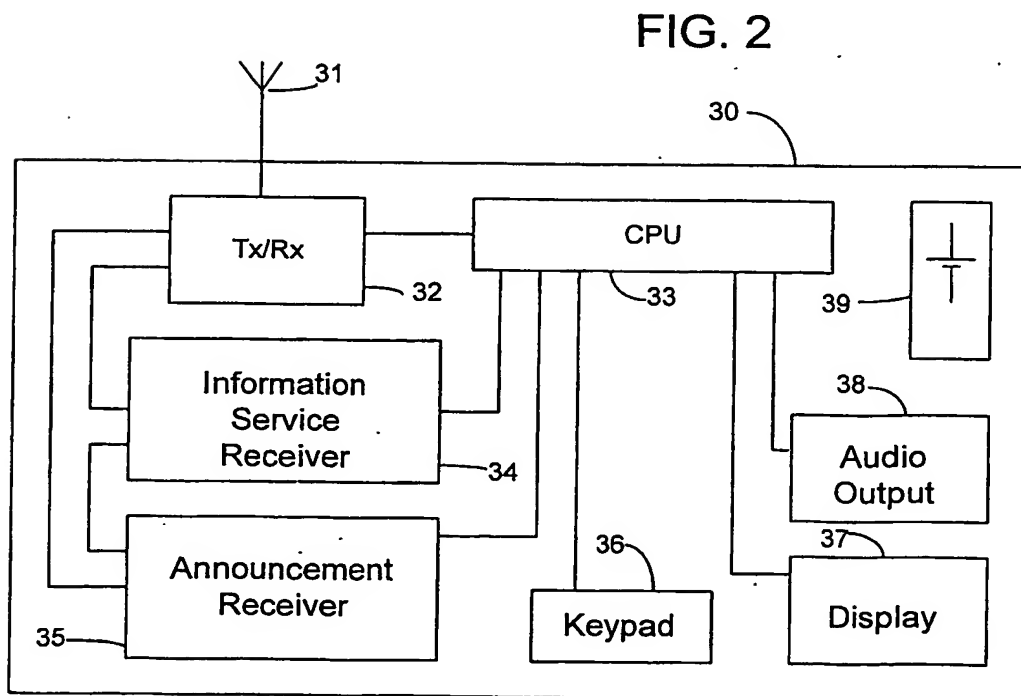


FIG. 2

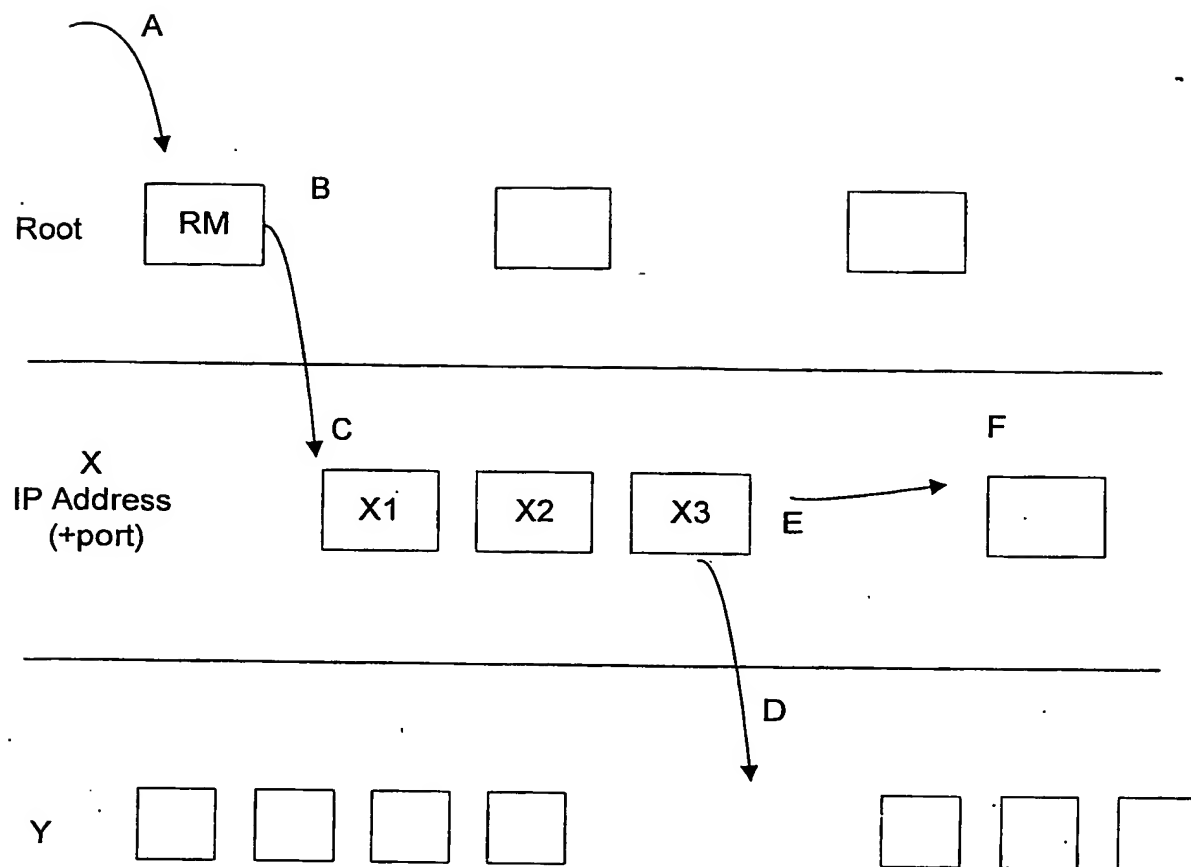


FIG. 3

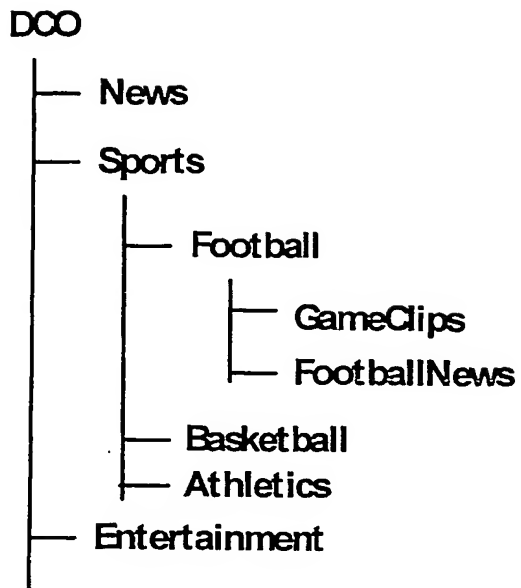


FIG. 4



FIG. 5A

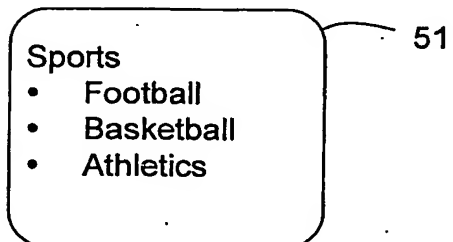


FIG. 5B

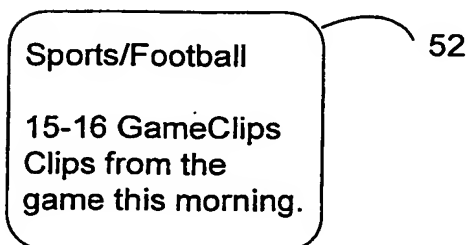


FIG. 5C

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☒ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**